Docket No.: 6097P033

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

KIRK G. PIERCE, ET AL.

Application No.:

Filed: July 15, 2003

For:

METHOD AND APPARATUS FOR WIND TURBINE

ROTOR LOAD CONTROL BASED ON SHAFT RADIAL

DISPLACEMENT

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted concurrently with the Continuation-in-Part Application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 10/357,522, filed February 3, 2003) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: July 15, 2003

Paul A. Mendonsa, Reg. No. 42,879

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (503) 684-6200

STATEMENT BY APPLICANT				Complete if Known		
			NOUDE	Application Number		
			SUKE	Filing Date	July 15, 2003	
			ICANT	First Named Inventor	Kirk G. Pierce	
				Art Unit		
			'' ''	Examiner Name		
Sheet	1	of	2	Attorney Docket Number	6097P033	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Palentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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FOREIGN PATENT DOCUMENTS								
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Initials*	No.'	Country Code ³ - Number ⁴ - Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear			
	1	WO 01/33075 A1	05-10-2001	Vestas Wind Systems A/S				
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Examiner	Date
Signature	Considered

Based on PTO/SB/08A (05-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 05/02/2003.

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known		
			SURE	Application Number Filing Date First Named Inventor Art Unit Examiner Name	July 15, 2003 Kirk G. Pierce	
Sheet	2	of	2	Attorney Docket Number	6097P033	

		OTHER ART - NON PATENT LITERATURE DOCUMENTS	
xaminer Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
- 2	2	P. CASELITZ ET AL., "Reduction of Fatigue Loads on Wind Energy Converters by Advanced Control Methods," European Wind Energy Conference, October 1997,	
		pp. 1-4, Dublin Castle, Ireland.	
	3	N. KELLEY ET AL., "The NREL Large-Scale Turbine Inflow and Response Experiment-Preliminary Results," National Wind Technology Center, National Renewable	-
		Energy Laboratory, pp. 412-426, #AIAA-2002-0064, Golden, Colorado, Col.	
	4	DAVID LAWRENCE LeMIEUX, "Rotor Blade Fatigue Reduction on Wind Turbines Using Pitch Control," A Thesis submitted to the Department of General Engineering, USING Potter Montana USA.	
		Using Pitch Control, A Thesis subfilted to the 2 spanning Montana Tech of The University of Montana, May 2001, pp. i-109, Butte, Montana, USA.	
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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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